

PROTECT YOURSELF FROM INVESTMENT FRAUD

HAVE A GAME PLAN BEFORE YOU INVEST



PAUSE

DECIDE NOW TO DECIDE LATER.

Good investments will be there tomorrow. Do not be pressured into making immediate decisions.

Knowing your exit strategy ahead of time makes it easier to leave an unwanted conversation.



ASK

WHO ARE YOU TALKING TO?

A legitimate investment professional must be properly registered, and their firm must be registered with FINRA, the SEC and the Kansas Department of Insurance.



CHECK

VERIFY THE ANSWERS.

Check the seller's credentials and their product before investing.

Review agent: brokercheck.finra.org

Review product: sec.gov/edgar/search



ALERT

SPEAK TO SOMEONE YOU TRUST.

Even if the seller and the investments are registered, it is a good idea to discuss these decisions with family or a trusted financial professional that is not connected to the offer.

PAUSE. ASK. CHECK. ALERT.

HOW TO REPORT FRAUD

WHO TO CONTACT IF YOU SUSPECT FRAUD



FINANCIAL BROKERS, ADVISERS & FIRMS

To Verify Financial Advisors:
brokercheck.finra.org



FEDERAL TRADE COMMISSION

Report Identity Theft:
877-382-4357
ftc.gov



INTERNET CRIME COMPLAINT CENTER

Report Internet Crime:
ic3.go



KANSAS ATTORNEY GENERAL

Report General Consumer Fraud:
785-296-3751
ag.ks.gov



KANSAS DEPARTMENT OF INSURANCE

Report Insurance Fraud:
785-296-3071
KDOL.Complaints@ks.gov
insurance.kansas.gov
ofrs.naic.org

Talk to the Officer of the Day:
785-296-6409

insurance.kansas.gov/securities/complaint/



INVESTOR EDUCATION

Learn How to Invest Wisely:
smartinvestks.gov
A service of the Kansas
Department of Insurance.

KANSAS INSURANCE COMMISSIONER VICKI SCHMIDT

PAUSE. ASK. CHECK. ALERT.